

07-11-01

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PTO/SB/05 (11-00)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

210121.478C17

First Inventor

Robert A. Henderson

Title

**COMPOSITIONS AND METHODS FOR
THE THERAPY AND DIAGNOSIS OF
LUNG CANCER**

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1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification [Total Pages 231]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Sheets]

- a.
- ☐
- Newly executed (original or copy)
-
- b.
- ☐
- Copy from a prior application (37 CFR 1.63 (d))
-
- (for a continuation/divisional with Box 18 completed)
-
- i.
- ☐
- DELETION OF INVENTOR(S)**
-
- Signed statement attached deleting inventor(s) named in
-
- the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. ☒ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)a. ☒ Computer Readable Form (CRF)b. ☒ Specification Sequence Listing on:i. ☐ CD-ROM or CD-R (2 copies); orii. ☒ paperc. ☒ Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. ☐ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney11. ☐ English Translation Document (if applicable)12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
Should be specifically itemized15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C.
Applicant must attach form 122(b)(2)(B)(i).
PTO/SB/35 or its equivalent.17. ☐ Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary
amendment, or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) ☒ of prior application No. 09/849,626
filed May 3, 2001

Prior application information

Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or
declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or
divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a
portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☐ Correspondence address below

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PATENT TRADEMARK OFFICE

Name (Print/Type)

James M. Verna, Ph.D.

Registration No.
(Attorney/Agent)

33,287

Signature

Date

July 10, 2001

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(JMV cew) #194577

J1059 U.S. PTO

JC872 U.S. PTO
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